

30 April 2021

To
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex
Bandra (East)
Mumbai - 400051

Symbol: BANKA

Dear Sir/Madam,

Sub: Submission of Annual Secretarial Compliance Report of “Banka BioLoo Limited” (the Company) for the financial year ended 31 March 2021

Ref: SEBI CIR/CFD/CMD1/27/2019, dated 8 February 2019

With reference to the above subject matter, and in compliance with the same, please find enclosed herewith Annual Secretarial Compliance Report for the financial year ended 31 March 2021, issued by Mr. M. Ramana Reddy, Practicing Company Secretary, Hyderabad, the Secretarial Auditor of the Company.

This is for your information and record.

Yours truly,

For Banka BioLoo Limited

Sri Bala Aditya Yanamandra
Company Secretary & Compliance Officer



M Ramana Reddy

Practicing Company Secretary

Address: Flat-403, Nirmal Tower 200, Dwarkapuri Colony,
Punjagutta, Hyderabad -500082, TG. Phone: 9059779006

To,
The Board of Directors
BANKA BIOLOO LIMITED (The Company)
CIN: L90001TG2012PLC082811,
Plot No. 11-4-651, Flat No. A 109
Express Apartment, Lakdi ka Pool,
Hyderabad-500004, Telangana, India.

Subject: Annual Secretarial Compliance Report for the Financial Year 2020-21

Sir/Madam,

I M Ramana Reddy, Practicing Company Secretary have been engaged for issuing Annual Secretarial Compliance Report of Banka BioLoo Limited ("The Company"), a Listed entity (Listed on National Stock Exchange of India in terms of SEBI Circular No. CIR/CFD/CMD1/27/2019, dated 08th February, 2019.

It is the responsibility of the management of the company to maintain records, devise proper systems to ensure compliance with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify compliances by the company with provisions of all applicable SEBI Regulations and circulars/guidelines issued thereunder from time to time and issue a report thereon.

Our Audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner, which involved such examinations and verifications as considered necessary and adequate for the said purpose Annual Secretarial Compliance Report is enclosed.

Place: Hyderabad

Date: 27-04-2021

UDIN: A037864C000186804

M. Ramana Reddy

Practicing Company Secretary

M. No. 37864

C P No:18415

M. RAMANA REDDY
Practicing Company Secretary
M. No.: 37864 C.P. No.: 18415

Annual Secretarial Compliance Report of Banka BioLoo Limited
for Financial Year Ended 31 March 2021

I, **M. Ramana Reddy, Practicing Company Secretary, Hyderabad**, have examined:

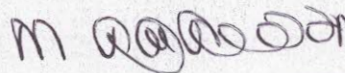
- (a) All the documents and records made available to us and explanation provided by **Banka BioLoo Limited** ("the listed entity");
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification.

For the year ended on 31 March 2021 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (**Not attracted during the year under review**);
- e. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (**Not attracted during the year under review**);
- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (**Not attracted during the year under review**);
- g. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (**Not attracted during the year under review**);



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- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i. Other regulations as applicable and
- j. Circulars/ guidelines issued thereunder

Based on the above examination, I hereby report that, during the Review Period that:

(a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder.

(b) The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) There were no actions taken against the listed entity/ its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

However, during financial year under review the company has issued warning letter to a person belonging to Promoter Group ("designated person") under SEBI (Prohibition of Insider Trading) Regulations, 2015 in view of the violation Clause 4(2) of Schedule B of the SEBI (Prohibition of Insider Trading) Regulations, 2015 by the said person by trading of securities during the trading window closure period; being the first such instance of violation, the company levied a penalty and remitted the said penalty amount to Investor and Education Protection Fund of SEBI.

The Secretarial Compliance Report for the financial year ended 31-03-2021 did not contain any observation detailing the action to be taken.

Place: Hyderabad

Date: 27-04-2021

UDIN: A037864C000186804



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